

Corporations Act 2001

Delegation of powers under section 1101J

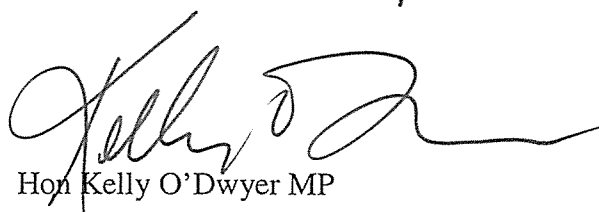
I, Kelly O'Dwyer, Minister for Revenue and Financial Services, under section 1101J of the *Corporations Act 2001* (the Act), DELEGATE to:

- (a) each member of ASIC (within the meaning of section 9 of the *Australian Securities and Investments Act 2001* (ASIC Act)); and
- (b) each staff member (within the meaning of subsection 5(1) of the ASIC Act) who is an SES employee (within the meaning of section 34 of the *Public Service Act 1999*) or who holds an office or position that is at a level equivalent to that of an SES employee,

my powers under the provisions of Chapter 7 of the Act, as outlined in the following table.

Description of power	Provision(s) of the Act
Issue/revoke exemption from all or specified provisions of Part 7.2 (licensed markets) or Part 7.3 (licensed clearing and settlement facilities) and vary/revoke an exemption	791C, 820C
Direct audit of annual report	792F, 821E
Approve change of country by foreign licensee	792H, 821F
Issue/vary/revoke directions	794A, 823A
Require a special report including an audited special report	794B, 823B
Issue domestic licence	795B(1), 824B(1)
Issue overseas licence	795B(2), 824B(2)
Gazette the licence	795C, 824C
Impose/vary/revoke conditions on licence	796A, 825A
Vary licence	797A, 826A
Suspend/cancel licence and vary or revoke suspension	797B, 797C, 797D, 797E, 797F, 826B, 826C, 826D, 826E, 826F
Disallow changes to operating rules	793E, 822E
Issue/revoke exemption from all or specified provisions of Part 7.2A (market supervision) and vary/revoke an exemption	798M
Consider whether market will be covered by Division 4 of Part 7.5 of the Act	881D
Approve compensation arrangements with grant of license	882A
Approve compensation arrangements after license is granted	882B
Revoke an approval of compensation arrangements	882C
Issue directions to licensee	882D
Disallow changes to compensation rules	884B
Approve changes to compensation arrangements	884C
Assess adequacy of compensation rules and arrangements	885B, 885C, 885J
Disallow changes to the operating rules of the Securities Exchanges Guarantee Corporation	890H
Request a risk assessment report	892K
Issue/revoke exemption from all or specified provisions of Part 7.5 (compensation regimes) and vary/revoke an exemption	893B

Dated this 10 day of April 2017



Hon Kelly O'Dwyer MP

Minister for Revenue and Financial Services